## Chapter 6

## Quantum entropy

As in classical information theory there is a notion of entropy which quantifies the amount of uncertainty contained in an ensemble of Qbits. This is the von Neumann entropy that we introduce in this chapter. In some respects it behaves just like Shannon's entropy but in some others it is very different and strange. As an illustration let us immediately say that as in the classical theory conditionning reduces entropy but in sharp contrast with classical theory the entropy of a system can be lower than the entropy of its parts.

The von Neumann entropy was first introduced in the realm of quantum statistical mechanics, but we will see in later chapters that it enters naturaly in various theorems of quantum information theory. The situation is not as well developped as in Shannon's theory and, for example, it is not clear what is the good analog (if there is one) of mutual information.

### 6.1 Main properties of Shannon entropy

Let $X$ be a random variable taking values $x$ in some alphabet with probabilities $p_{x}=\operatorname{Prob}(X=x)$. The Shannon entropy of $X$ is

$$
H(X)=-\sum_{x} p_{x} \ln p_{x}
$$

and quantifies the average uncertainty about $X$.
The joint entropy of two random variables $X, Y$ is similarly defined as

$$
H(X, Y)=-\sum_{x, y} p_{x, y} \ln p_{x, y}
$$

and the conditional entropy

$$
H(X \mid Y)=-\sum_{y} p_{y} \sum_{x, y} p_{x \mid y} \ln p_{x \mid y}
$$

where

$$
p_{x \mid y}=\frac{p_{x, y}}{p_{y}}
$$

The conditional entropy is the average uncertainty of $X$ given that we observe $Y=y$. It is easily seen that

$$
H(X \mid Y)=H(X, Y)-H(Y)
$$

The formula is consistent with the interpretation of $H(X \mid Y)$ : when we observe $Y$ the uncertainty $H X, Y$ ) is reduced by the amount $H(Y)$.

The mutual information between $X$ and $Y$ is

$$
I(X ; Y)=H(X)-H(X \mid Y)=H(Y)-H(Y \mid X)=H(X)+H(Y)-H(X, Y)=I(Y: X)
$$

It is easily seen that $I(X ; Y)=0$ iff $p_{x, y}=p_{x} p_{y}$.
The Kullback-Leibler divergence, or relative entropy, between two probability distributions $p$ and $q$ is a useful tool

$$
D(p \| q)=\sum_{x} p_{x} \ln p_{x}-\sum_{x} p_{x} \ln q_{x}=\sum_{x} p_{x} \ln \frac{p_{x}}{q_{x}}
$$

Note that this quantity is not symmetric, $D(p \| q) \neq D(q \| p)$. One can also check that

$$
I(X ; Y)=I(Y ; X)=D\left(P_{X, Y} \| P_{X} P_{Y}\right)
$$

Let us list the main inequalities of classical information theory and indicate which become true or false in the quantum domain.

- The maximum entropy state corresponds to the uniform distribution. For an alphabet with cardinality $D$ we have

$$
0 \leq H(X) \leq \ln D
$$

with the upper bound attained iff $p_{x}=\frac{1}{D}$. Quantum mechanicaly this is still be true.

- $H(X)$ is a concave functional of $p_{x}$. This means that if $p_{0}(x)=$ $\sum_{k} a_{k} p_{k}(x), a_{k} \geq 0, \sum_{k} a_{k}=1$ then

$$
H_{0}(X) \geq \sum_{k} a_{k} H_{k}(X)
$$

QMly this is still true.

- Entropy is subadditive,

$$
H(X, Y) \leq H(X)+H(Y)
$$

Equivalently conditioning reduces entropy $H(X \mid Y) \leq H(X), H(Y \mid X) \leq$ $H(Y)$, and mutual information is positive $I(X ; Y) \geq 0$. QMly all this is true.

- The conditional entropy is positive, the entropy of $(X, Y)$ is higher than that of $X$ (or $Y$ )

$$
H(X \mid Y) \geq 0, \quad H(X, Y) \geq H(X), \quad H(X, Y) \geq H(Y)
$$

with equality if $Y=f(X)$ QMly this is not true! We will see that (again!) entanglement is responsible for this !

- Conditionning reduces conditional entropy

$$
H(X \mid Y, Z) \leq H(X \mid Y)
$$

This inequa;ity is also called "strong subadditivity" and is equivalent to

$$
H(X, Y, Z)+H(Y) \leq H(X, Y)+H(Y, Z)
$$

Equality is attained iff $X-Y-Z$ form a Markov chain. This means that $p_{x, z \mid y}=p_{x \mid y} p_{z \mid y}$ or equivalently $p_{x, y, z}=p_{z \mid y} p_{y \mid x} p_{x}$ (a Markov chain is reversible: $Z-Y-X$ is also a Markov chain). we will see that QMly strong subadditivity still holds. In view of the great gap in difficulty between the classical and quantum proofs it is fair to say that this fact is subtle and remarquable. However the notion of Markov chain is not clear in the quantum case (even the notion of conditional probability is not clear) so it is not easily asserted when equality holds.

- A consequence of strong subadditivity is the data processing inequality obeyed by Markov chains $X-Y-Z$

$$
H(X \mid Z) \geq H(X \mid Y)
$$

Indeed $H(X \mid Z) \geq H(X \mid Z, Y)=H(X \mid Y)$ where the last equality follows from the fact $Z$ and $X$ are independent given $Y$. Since the notion of Markov chain is not clear QMly the quantum version of the data processing inequality is a subtle matter.

- The relative entropy is positive

$$
D(p \| q) \geq 0
$$

This is basicaly a convexity statement which is also true QMly.

- An algebraic identity which follows immediately form definitions is the chain rule

$$
H\left(X_{1}, \ldots, X_{n} \mid Y\right)=\sum_{i=1}^{n} H\left(X_{i} \mid X_{i+1}, \ldots, X_{n}, Y\right)
$$

and

$$
I\left(X_{1}, \ldots, X_{n} \mid Y\right)=\sum_{i=1}^{n} I\left(X_{i} \mid X_{i+1}, \ldots, X_{n}, Y\right)
$$

### 6.2 Von Neuman entropy and main properties

We assume that the system of interest is described by its density matrix $\rho$ and furthermore we restrict ourselves to the case of a finite dimensional Hilbert space $\operatorname{dim} \mathcal{H}=D$. the von Neumann entropy is by definition

$$
S(\rho)=-\operatorname{Tr} \rho \ln \rho
$$

In physics this quantity gives the right connection between quantum statistical mechanics and thermodynamics when $\rho=e^{-\beta H} / Z$ is the Gibbs state describing a mixure at thermal equilibrium. In quantum information theory this entropy enters in many theorems (data compressio, measures of entanglement ect...) and thus acquires a fundamental status.

For the moment we just note that the definition is reasonable in the following sense. Suppose the quantum system of is prepared in a mixture of states $\left\{\left|\phi_{x}\right\rangle ; p_{x}\right\}$ so that its density matrix is

$$
\rho=\sum_{x} p_{x}\left|\phi_{x}\right\rangle\left\langle\phi_{x}\right|
$$

For the special case where $|x\rangle$ form an orthonormal basis of $\mathcal{H}$, this is a diagonal operator, so the eigenvalues of $\rho \ln \rho$ are $p_{x} \ln p_{x}$, and $S(\rho)=$ $-\sum_{x} p_{x} \ln p_{x}=H(X)$, where $X$ is the random variable with distribution $p_{x}$. In an orthogonal mixture all states can be perfectly distinguished so the mixture behaves classicaly: the quantum and classical entropies coincide.

We emphasize that for a general mixture the states $\left|\phi_{x}\right\rangle$ are not orthonormal so that $S(\rho) \neq H(X)$. In fact we will see that the following holds in full generality

$$
S(\rho) \leq H(X)
$$

where $X$ is the random variable associated to the "preparation" of the mixture. This bound can be understood intuitively: since the states $\left|\phi_{x}\right\rangle$ cannot be perfectly distinguished (unless they are orthogonal, see chap 2) the quantum uncertainty associated to $\rho$ is less than the classical uncertainty associated to $X$.

In the case of a pure state $\rho=|\Psi\rangle\langle\Psi|$ we see that the eigenvalues of $\rho$ are 1 (multiplicity one) and 0 (multiplicity $D-1$ ). Thus

$$
S(|\Psi\rangle\langle\Psi|)=0
$$

The entropy of a pure state is zero because there is no uncertainty in this state (in line with the Copenhagen interpretation of QM).

A quantity that plays an important role is also the relative entropy defined by analogy with the KL divergence

$$
S(\rho \| \sigma)=\operatorname{Tr} \rho \ln \rho-\operatorname{Tr} \rho \ln \sigma
$$

Let us set up some notation concerning the entropy of composite systems and their parts. For a bipartite system $\mathcal{A B}$ with density matrix $\rho_{\text {cab }}$ we write

$$
S(\mathcal{A B})=-\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A B}}
$$

and for its parts described by the reduced density matrices $\rho_{\mathcal{A}}=\operatorname{Tr}_{\mathcal{B}} \rho_{\mathcal{A B}}$ and $\rho_{\mathcal{B}}=\operatorname{Tr}_{\mathcal{A}} \rho_{\mathcal{A B}}$,

$$
S(\mathcal{A})=-\operatorname{Tr} \rho_{\mathcal{A}} \ln \rho_{\mathcal{A}}, \quad S(\mathcal{B})=-\operatorname{Tr} \rho_{\mathcal{B}} \ln \rho_{\mathcal{B}}
$$

One could try to pursue further the anlogies with the classical case and define conditional entropies as $S(\mathcal{A} \mid \mathcal{B})=S(\mathcal{A B})-S(\mathcal{B}), S(\mathcal{B} \mid \mathcal{A})=S(\mathcal{A B})-$ $S(\mathcal{A})$ and mutual information as $I(\mathcal{A} ; \mathcal{B})=I(\mathcal{B} ; \mathcal{A})=S(\mathcal{A})+S(\mathcal{B})-S(\mathcal{A B})$. However it is not clear that these are of any fundamental use since they do not enter (yet) in any theorem of quantum information theory. Perhaps two more serious argument for suspicion are that first, as we will see $S(\mathcal{A B})-S(\mathcal{B})$ can be negative, and second it is not at al clear how to define the quantum analog conditional probabilities.

Let us now proceed the statements and proofs of the basic inequalities satisfied by von Neumann's entropy.

- Uniform distribtion maximizes entropy. Any $\rho$ can be diagonalized and has positive eigenvalues $\rho_{x}$ which sum to one. Thus $S(\rho)=-\sum \rho_{x} \ln \rho_{x}$, a quantity which is maximized for the distribution $\rho_{x}=\frac{1}{D}$ (as in the classical case). Thus in the basis where it is diagonal $\rho=\frac{1}{D} I$, and this is also true in any basis. We conclude

$$
0 \leq S(\rho) \leq \ln D
$$

where the upper bound is attained for the "fully mixed" (or most disordered, or uniform) state $\rho=\frac{1}{D} I$. The lower bound is attained for pure states (check !).

- Concavity. Let $\rho$ and $\sigma$ be two density matrices. then

$$
S(t \rho+(1-t) \sigma) \geq t S(\rho)+(1-t) S(\sigma), \quad 0 \leq t \leq 1
$$

The proof follows the same lines as the classical one which uses convexity of $x \rightarrow x \ln x$. We prove below that $\rho \rightarrow \operatorname{Tr} \rho \ln \rho$ is a convex functional and this immediately impies concavity of vonNeumann's entropy.
Lemma 1 (Klein's inequality). Let $A$ and $B$ selfadjoint and $f$ convex from $R \rightarrow R$. We have

$$
\operatorname{Tr}\left(f(A)-f(B)-(A-B) f^{\prime}(B)\right) \geq 0
$$

Proof. Let $A\left|\phi_{i}\right\rangle=a_{i}\left|\phi_{i}\right\rangle$ and $B\left|\psi_{i}\right\rangle=b_{i}\left|\psi_{i}\right\rangle$. Then

$$
\begin{equation*}
\operatorname{Tr}\left(f(A)-f(B)-(A-B) f^{\prime}(B)\right)=\sum_{i}\left\langle\phi_{i}\right| f(A)-f(B)-(A-B) f^{\prime}(B)\left|\phi_{i}\right\rangle \tag{6.1}
\end{equation*}
$$

Each term in the sum equals

$$
\begin{equation*}
f\left(a_{i}\right)-\left\langle\phi_{i}\right| f(B)\left|\phi_{i}\right\rangle-a_{i}\left\langle\phi_{i}\right| f^{\prime}(B)\left|\phi_{i}\right\rangle+\left\langle\phi_{i}\right| B f^{\prime}(B)\left|\phi_{i}\right\rangle \tag{6.2}
\end{equation*}
$$

Using the closure relation

$$
1=\sum_{j}\left|\psi_{j}\right\rangle\left\langle\psi_{j}\right|
$$

the last expression can be rewritten as

$$
\sum_{j}\left|\left\langle\phi_{i} \mid \psi_{j}\right\rangle\right|^{2}\left(f\left(a_{i}-f\left(b_{j}\right)-\left(a_{i}-b_{j}\right) f^{\prime}\left(b_{j}\right)\right)\right.
$$

Now since $f: R \rightarrow R$ is convex we have

$$
\left.f\left(a_{i}\right)-f\left(b_{j}\right) \geq\left(a_{i}\right)-b_{j}\right) f^{\prime}\left(b_{j}\right)
$$

Thus (6.2) is positive which implies that (6.1) is also positive.

Corollary 2. Let $A$ and $B$ selfadjoint and positive (positive means that all eigenvalues are positive or equivalently that all diagonal averages $\rangle \psi|A| \psi\rangle$ are positive for any $|\psi\rangle$ ). Then

$$
\operatorname{Tr} A \ln A-\operatorname{Tr} B \ln B \geq \operatorname{Tr}(A-B)
$$

Proof. Take $f(t)=t \ln t$ and apply Klein's inequality.

Now choose $A=\rho$ and $B=t \rho+(1-t) \sigma$. From the corrolary

$$
\operatorname{Tr} \rho \ln \rho-\operatorname{Tr} \rho \ln (t \rho+(1-t) \sigma) \geq(1-t) \operatorname{Tr}(\rho-\sigma)=0
$$

Choose $A=\sigma$ and $B=t \rho+(1-t) \sigma$. Then

$$
\operatorname{Tr} \sigma \ln \sigma-\operatorname{Tr} \sigma \ln (t \rho+(1-t) \sigma) \geq t \operatorname{Tr}(\sigma-\rho)=0
$$

Multiplying the first inequality by $t$ and the second by $(1-t)$ and adding them yields

$$
\operatorname{Tr}(t \rho+(1-t) \sigma) \ln (t \rho+(1-t) \sigma) \leq t \operatorname{Tr} \rho \ln \rho+(1-t) \operatorname{Tr} \sigma \ln \sigma
$$

- Positivity of relative entropy. Choose $A=\rho$ and $B=\sigma$ and apply the corrollary,

$$
S(\rho \| \sigma)=\operatorname{Tr} \rho \ln \rho-\operatorname{Tr} \rho \ln \sigma \geq \operatorname{Tr}(\rho-\sigma)=0
$$

- Subadditivity. In the classical case one has

$$
H(X)+H(Y)-H(X, Y)=D\left(p_{x, y} \| p_{x} p_{y}\right) \geq 0
$$

In the quantum case the proof is similar, but we detail the steps

$$
\begin{aligned}
S(\mathcal{A})+S(\mathcal{B})-S(\mathcal{A B}) & =-\operatorname{Tr}_{\mathcal{A}} \rho_{\mathcal{A}} \ln \rho_{\mathcal{A}}-\operatorname{Tr}_{\mathcal{B}} \rho_{\mathcal{B}} \ln \rho_{\mathcal{B}}+\operatorname{Tr} \rho_{c} a b \ln \rho_{\mathcal{A B}} \\
& =-\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A}} \otimes I_{\mathcal{B}}-\operatorname{Tr} \rho_{\mathcal{A B}} \ln I_{\mathcal{A}} \otimes \rho_{\mathcal{B}}+\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A B}} \\
& =\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A B}}-\operatorname{Tr} \rho_{\mathcal{A B}}\left(\ln \rho_{\mathcal{A}} \otimes I_{\mathcal{B}}+\ln I_{\mathcal{A}} \otimes \rho_{\mathcal{B}}\right) \\
& =\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A B}}-\operatorname{Tr} \rho_{\mathcal{A B}} \ln \rho_{\mathcal{A}} \otimes \rho_{\mathcal{B}} \\
& =\operatorname{S}\left(\rho_{\mathcal{A B}} \mid \rho_{\mathcal{A}} \otimes \rho_{\mathcal{B}}\right) \geq 0
\end{aligned}
$$

Exercise: check the identity $\ln \rho_{\mathcal{A}} \otimes I_{\mathcal{B}}+\ln I_{\mathcal{A}} \otimes \rho_{\mathcal{B}}=\ln \rho_{\mathcal{A}} \otimes \rho_{\mathcal{B}}$ by using spectral decompositions.

- Araki-Lieb bound. Classicaly $H(X, Y) \geq H(X)$ (the whole is more disordered than the parts). But quantum mechanically this can be completely wrong as the following counterexample shows. Let

$$
\rho_{\mathcal{A B}}=\left|B_{00}\right\rangle\left\langle B_{0} 0\right|
$$

This is a pure state so $S \mathcal{A B})=0$. However we have for the two parts

$$
\rho_{\mathcal{A}}=\frac{1}{2} I_{\mathcal{A}}, \quad \rho_{\mathcal{B}}=\frac{1}{2} I_{\mathcal{B}}
$$

which have maximal entropies $S(\mathcal{A})=S(\mathcal{B})=\ln 2$. The two parts of the EPR pair when looked upon localy are as disordered as they can be, however the global state is highly correlated.

Is there a general good lower bound for $S(\mathcal{A B})$ in terms of the entropies of the parts ? The answer is provided by

Theorem 3 (Araki-Lieb).

$$
S(\mathcal{A B}) \geq|S(\mathcal{A})-S(\mathcal{B})|
$$

Proof. The proof is a nice application of the purification idea and the Schmidt decomposition theorem. We introduce a third system $R$ such that $\mathcal{A B R}$ is a purification of $\mathcal{A B}$. That is

$$
\rho_{\mathcal{A B R}}=|\mathcal{A B R}\rangle\langle\mathcal{A B R}|, \quad \operatorname{Tr}_{\mathcal{R}} \rho_{\mathcal{A B R}}=\rho_{\mathcal{A B}}
$$

By subadditivity

$$
\begin{equation*}
S(\mathcal{A R}) \leq S(\mathcal{A})+S(\mathcal{R}) \tag{6.3}
\end{equation*}
$$

Now since $r h o_{\mathcal{A B R}}$ is a pure state (Schmidt theorem) the non-zero eigenvalues of $\rho_{\mathcal{A B}}$ and $\rho_{\mathcal{R}}$ are equal; and also the non zero eigenvalues of $\rho_{\mathcal{A R}}$ and $\rho_{\mathcal{B}}$ are equal. Thus

$$
S(\mathcal{A B})=S(\mathcal{R}), \quad S(\mathcal{A R})=S(\mathcal{B})
$$

Replacing in eqrefsub we get

$$
S(\mathcal{B})-S(\mathcal{A}) \leq S(\mathcal{A B})
$$

Since $\mathcal{A}$ and $\mathcal{B}$ play a symmetric role we can exchange them which ends the proof.

- Strong subadditivity. let $\mathcal{A B C}$ be a quantum system formed of three parts $\mathcal{H}_{\mathcal{A}} \otimes \mathcal{H}_{\mathcal{B}} \otimes \mathcal{H}_{\mathcal{C}}$. We have similarly to the classical case

$$
S(\mathcal{A B C})+S(\mathcal{B}) \leq S(\mathcal{A B})+S(\mathcal{B C})
$$

This can be written also as $S(\mathcal{C} \mid \mathcal{A B}) \leq S(\mathcal{C} \mid \mathcal{B})$ in terms of "naive" conditional entropies. So one may say that conditioning reduces entropy (although the "conditional" entropy is not necessarily positive). As in classical information theory, this inequality plays an important role.
Classicaly the proof of this inequality is based on the positivity of the KL divergence. It turns out that quantum mechanicaly the proof is much more difficult. We will omit it here except for saying that one can base it on the joint concavity of the functionals

$$
f(A, B)=\operatorname{Tr} M^{\dagger} A^{s} M B^{(1-s)}
$$

for any matrix $M$ (not necessarily selfadjoint) and any $0 \leq s \leq 1$. This fact was a conjecture of Wigner-Yanase-Dyson for many years until Lieb found a proof (1973). Later Lieb and Ruskai realized that it implies strong subadditivity.

### 6.3 Useful bounds on the entropy of a mixtures

This section is devoted to the proof of the following important theorem
Theorem 4. Let $X$ be a random variable with distribution $p_{x}$ and $\rho=$ $\sum_{x} p_{x} \rho_{x}$ where $\rho_{x}$ are mixed states. We have

$$
S(\rho) \leq \sum_{x} p_{x} S\left(\rho_{x}\right)+H(X)
$$

This inequality has a clear interpretation: the uncertainty about $\rho$ is not greater than the average uncertainty about each $\rho_{x}$ plus the uncertainty about the classical preparation described by $X$. If in particular $\rho_{x}=\left|\phi_{x}\right\rangle\left\langle\phi_{x}\right|$ are pure states we have $S\left(\rho_{x}\right)=0$ so, as announced at the beginning of the chapter,

$$
S(\rho) \leq H(X)
$$

Proof. First we deal with a mixture of pure states. For convenience we call this mixture $\mathcal{A}$ and set

$$
\rho_{\mathcal{A}}=\sum_{x} p_{x}\left|\phi_{x}\right\rangle_{\mathcal{A}}\left\langle\left.\phi_{x}\right|_{\mathcal{A}}\right.
$$

Let cHr a space whose dimension is equal to the number of terms in the mixture and with orthonormal basis labeled as $|x\rangle_{\mathcal{R}}$. The pure state

$$
|\mathcal{A R}\rangle=\sum_{x} \sqrt{p_{x}}\left|\phi_{x}\right\rangle_{\mathcal{A}} \otimes|x\rangle_{\mathcal{R}}
$$

is a purification of $\rho_{\mathcal{A}}$ because

$$
\operatorname{Tr}_{\mathcal{R}}|\mathcal{A R}\rangle\langle\mathcal{A R}|=\sum_{x} p_{x}\left|\phi_{x}\right\rangle\left\langle\left.\phi_{x}\right|_{\mathcal{A}}=\rho_{\mathcal{A}}\right.
$$

We also have that

$$
\begin{equation*}
\rho_{\mathcal{R}}=\operatorname{Tr}_{\mathcal{A}}|\mathcal{A R}\rangle\langle\mathcal{A R}|=\sum_{x, x^{\prime}} \sqrt{p_{x}} \sqrt{p_{x^{\prime}}}\left\langle\phi_{x} \mid \phi_{x^{\prime}}\right\rangle_{\mathcal{A}}|x\rangle_{\mathcal{R}}\left\langle\left. x^{\prime}\right|_{\mathcal{R}}\right. \tag{6.4}
\end{equation*}
$$

By the Schmidt theorem we know that $\rho_{\mathcal{A}}$ and $\rho_{\mathcal{R}}$ have the same non zero eigenvalues, thus

$$
S\left(\rho_{\mathcal{A}}\right)=S\left(\rho_{\mathcal{R}}\right)
$$

Consider now

$$
\rho_{\mathcal{R}}^{\prime}=\sum_{x} p_{x}|x\rangle_{\mathcal{R}}\left\langle\left. x^{\prime}\right|_{\mathcal{R}}\right.
$$

and look at the relative entropy

$$
S\left(\rho_{\mathcal{R}} \| \rho_{\mathcal{R}}^{\prime}\right)=\operatorname{Tr} \rho_{\mathcal{R}} \ln \rho_{\mathcal{R}}-\operatorname{Tr} \rho_{\mathcal{R}} \ln \rho_{\mathcal{R}}^{\prime} \geq 0
$$

Thus

$$
\begin{equation*}
S\left(\rho_{\mathcal{A}}=S\left(\rho_{\mathcal{R}}\right) \leq-\operatorname{Tr} \rho_{\mathcal{R}} \ln \rho_{\mathcal{R}}^{\prime}\right. \tag{6.5}
\end{equation*}
$$

It remains to compute the right hand side. Since $|x\rangle_{\mathcal{R}}$ is an orthonormal basis

$$
\ln \rho_{\mathcal{R}}^{\prime}=\sum_{x} \ln p_{x}|x\rangle_{\mathcal{R}}\left\langle\left. x\right|_{\mathcal{R}}\right.
$$

which implies

$$
\operatorname{Tr} \rho_{\mathcal{R}} \ln \rho_{\mathcal{R}}^{\prime}=\sum_{x} \ln p_{x} \operatorname{Tr} \rho_{\mathcal{R}}|x\rangle_{\mathcal{R}}\left\langle\left. x\right|_{\mathcal{R}}=\sum_{x} \ln p_{x}\langle x| \rho_{\mathcal{R}} \mid x\right\rangle
$$

From the expression of $\rho_{\mathcal{R}}$ (6.4) we remark that

$$
\langle x| \rho_{\mathcal{R}}|x\rangle=p_{x}
$$

Thus (6.5) becomes

$$
S\left(\rho_{\mathcal{A}}\right) \leq-\sum p_{x} \ln p_{x}=H(X)
$$

### 6.4. MEASURING WITHOUT LEARNING THE MEASUREMENT OUTCOME CANNOT DECRI

Consider now the general case of a mixture of mixed states $\rho=\sum_{x} p_{x} \rho_{x}$. each mixed state has a spectral decomposition

$$
\rho_{x}=\sum_{j} \lambda_{j}^{(x)}\left|e_{j}^{(x)}\right\rangle\left\langle e_{j}^{(x)}\right|
$$

so

$$
\rho=\sum_{x, j} p_{x} \lambda_{j}^{(x)}\left|e_{j}^{(x)}\right\rangle\left\langle e_{j}^{(x)}\right|
$$

Note that this is a convex combination of one dimensional projectors so that we can apply the previous result

$$
\begin{aligned}
S(\rho) & \leq-\sum_{x, j} p_{x} \lambda_{j}^{(x)} \ln p_{x} \lambda_{j}^{(x)} \\
& =-\sum_{x, j} p_{x} \lambda_{j}^{(x)} \ln p_{x}-\sum_{x, j} p_{x} \lambda_{j}^{(x)} \ln \lambda_{j}^{(x)} \\
& =-\sum_{x} p_{x} \ln p_{x}-\sum_{x} p_{x} \sum_{j} \lambda_{j}^{(x)} \ln \lambda_{j}^{(x)} \\
& =H(X)+\sum_{x} p_{x} S\left(\rho_{x}\right)
\end{aligned}
$$

In the last equality we used $S\left(\rho_{x}\right)=\sum_{j} \lambda_{j}^{(x)} \ln \lambda_{j}^{(x)}$.

### 6.4 Measuring without learning the measurement outcome cannot decrease entropy

Suppose we are given a mixed state $\rho$ and a measurement apparatus with measurement basis $\{|x\rangle\langle x|\}$. According to the measurement postulate the possible outcomes are pure states

$$
|x\rangle, \quad \text { with probability } p_{x}=\langle x| \rho|x\rangle
$$

[Note that $\sum_{x}\langle x| \rho|x\rangle=1$ ]. If we observe the measurement result we know that we have some $|x\rangle$ with zero entropy.

Now imagine that we do the measurement but do not record the measurement result. (subsequently we will call this a "blind" measurement)then our description of the state of the system is a mixture $\left\{|x\rangle, p_{x}\right\}$ with diagonal density matrix

$$
\rho_{\text {blind }}=\sum_{x}\langle x| \rho|x\rangle|x\rangle\langle x|
$$

[note that this diagonal density matrix is equivalent to a classical state] If we look at the relative entropy

$$
S\left(\rho \| \rho_{\text {blind }}\right) \geq 0
$$

we find, by a small calculation ${ }^{1}$,

$$
S(\rho) \leq H(\langle x| \rho|x\rangle)=S\left(\rho_{\text {blind }}\right)
$$

Thus blind measurements can only increase the entropy or leave it constant.
To conclude the chapter we note that for a composite system $\mathcal{A B}$ a local measurement done by Alice on part $\mathcal{A}$ is blind to Bob which has part $\mathcal{B}$ of the system (Alice and Bob are "far apart" and do not communicate). The entropy of part $\mathcal{B}$ can only increase or stay constant: if it increased according to thermodynamics some amount of heat should be released in part $\mathcal{B}$ (of the order of $k T\left(S\left(\rho_{\mathcal{B}}^{\text {blind }}\right)-S\left(\rho_{\mathcal{B}}\right)\right)$. However this would violate locality and indeed it is very reassuring to check that there is a way to check this within the formalism of quantum mechanics (forget about thermodynamicsif you dont like the previous argument).

The outcomes of Alice measurement are

$$
\frac{\left(| i \rangle _ { \mathcal { A } } \langle i | _ { \mathcal { A } } \otimes I _ { \mathcal { B } } ) \rho _ { \mathcal { A B } } \left(|i\rangle_{\mathcal{A}}\left\langle\left. i\right|_{\mathcal{A}} \otimes I_{\mathcal{B}}\right)\right.\right.}{\operatorname{Tr}\left(|i\rangle_{\mathcal{A}}\left\langle\left. i\right|_{\mathcal{A}} \otimes I_{\mathcal{B}}\right) \rho_{\mathcal{A B}}(i\rangle_{\mathcal{A}}\left\langle\left. i\right|_{\mathcal{A}} \otimes I_{\mathcal{B}}\right)\right.}
$$

and equal

$$
\rho_{\mathcal{A B}}^{(i)}=\frac{\left(| i \rangle _ { \mathcal { A } } \langle i | _ { \mathcal { A } } \otimes I _ { \mathcal { B } } ) \rho _ { \mathcal { A B } } \left(|i\rangle_{\mathcal{A}}\left\langle\left. i\right|_{\mathcal{A}} \otimes I_{\mathcal{B}}\right)\right.\right.}{\langle i| \rho_{a b}|i\rangle}
$$

with probability

$$
\langle i| \rho_{\mathcal{A}}|i\rangle
$$

Since this is a blind measurement from the perspective of Bob (in fact as we repeatedly argued in cahpter 4 ect... he has no perpective at all because he has no way to know that Alice even exists) the reduced density matrix is (a mixture of mixed states)

$$
\rho_{B}^{\text {after }}=\sum_{i}\langle i| \rho_{\mathcal{A}}|i\rangle T r_{A} \rho_{\mathcal{A B}}^{(i)}
$$

A short calculation shows that this equals

$$
\rho_{B}^{\text {after }}=\sum_{i}\langle i| \rho_{\mathcal{A}}|i\rangle \frac{\langle i| \rho_{\mathcal{A B}}|i\rangle}{\langle i| \rho_{A}|i\rangle}=\sum_{i}\langle i| \rho_{\mathcal{A B}}|i\rangle=\operatorname{Tr}_{A} \rho_{\mathcal{A B}}=\rho_{B}
$$

[^0]
### 6.4. MEASURING WITHOUT LEARNING THE MEASUREMENT OUTCOME CANNOT DECRI

So after Alice's measurement not only Bob's entropy is unchanged but even his density matrix is left the same as it was before the measurement. This provides a completely general proof that Bob does not notice Alice's measurements. Of course on Alice's side if she does not record her measurement outcome her entropy will increase ${ }^{2}$.

[^1]
[^0]:    ${ }^{1}$ identical to the one in the proof of the upper bound in the previous section

[^1]:    ${ }^{2}$ the heat associated with this entropy increase will eventualy dissipate and reach Bob, but only after a time compatible with relativity

