## ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

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Handout 18 Homework 7 Information Theory and Coding Nov. 3, 2015

PROBLEM 1. A source produces independent, equally probable symbols from an alphabet  $(a_1, a_2)$  at a rate of one symbol every 3 seconds. These symbols are transmitted over a binary symmetric channel which is used once each second by encoding the source symbol  $a_1$  as 000 and the source symbol  $a_2$  as 111. If in the corresponding 3 second interval of the channel output, any of the sequences 000,001,010,100 is received,  $a_1$  is decoded; otherwise,  $a_2$  is decoded. Let  $\epsilon < 1/2$  be the channel crossover probability.

- (a) For each possible received 3-bit sequence in the interval corresponding to a given source letter, find the probability that  $a_1$  came out of the source given that received sequence.
- (b) Using part (a), show that the above decoding rule minimizes the probability of an incorrect decision.
- (c) Find the probability of an incorrect decision (using part (a) is not the easy way here).
- (d) If the source is slowed down to produce one letter every 2n+1 seconds,  $a_1$  being encoded by 2n+1 0's and  $a_2$  being encoded by 2n+1 1's. What decision rule minimizes the probability of error at the decoder? Find the probability of error as  $n \to \infty$ .

PROBLEM 2. Consider two discrete memoryless channels. The first channel has input alphabet  $\mathcal{X}$ , output alphabet  $\mathcal{Y}$ ; the second channel has input alphabet  $\mathcal{Y}$  and output alphabet  $\mathcal{Z}$ . The first channel is described by the conditional probabilities  $P_1(y|x)$  and the second channel by  $P_2(z|y)$ . Let the capacities of these channels be  $C_1$  and  $C_2$ . Consider a third memoryless channel described by probabilities

$$P_3(z|x) = \sum_{y \in \mathcal{Y}} P_2(z|y) P_1(y|x), \quad x \in \mathcal{X}, \ z \in \mathcal{Z}.$$

(a) Show that the capacity  $C_3$  of this third channel satisfies

$$C_3 \le \min\{C_1, C_2\}.$$

- (b) A helpful statistician preprocesses the output of the first channel by forming  $\tilde{Y} = g(Y)$ . He claims that this will strictly improve the capacity.
  - (b1) Show that he is wrong.
  - (b2) Under what conditions does he not strictly decrease the capacity?

PROBLEM 3. Let X be the channel input. Assume that the channel output Y is passed through a date processor in such a way that no information is lost. That is,

$$I(X;Y) = I(X;Z)$$

where Z is the processor output. Find an example where H(Y) > H(Z) and find an example where H(Y) < H(Z).

*Hint:* The data processor does not have to be deterministic

PROBLEM 4. Consider the discrete memoryless channel  $Y = X + Z \pmod{11}$ , where

$$Pr(Z = 1) = Pr(Z = 2) = Pr(Z = 3) = 1/3$$

and  $X \in \{0, 1, ..., 10\}$ . Assume that Z is independent of X.

- (a) Find the capacity.
- (b) What is the maximizing  $p^*(x)$ ?

PROBLEM 5. We are given a memoryless stationary binary symmetric channel BSC( $\epsilon$ ). Namely, if  $X_1, \ldots, X_n \in \{0, 1\}$  are the input of this channel and  $Y_1, \ldots, Y_n \in \{0, 1\}$  are the output, we have:

$$P(Y_i|X_i,X^{i-1},Y^{i-1}) = P(Y_i|X_i) = \begin{cases} 1-\epsilon & \text{if } Y_i = X_i, \\ \epsilon & \text{otherwise.} \end{cases}$$

Let W be a random variable that is uniform in  $\{0,1\}$  and consider a communication system with feedback which transmits the value of W to the receiver as follows:

- At time t = 1, the transmitter sends  $X_1 = W$  through the channel.
- At time  $t = i + 1 \le n$ , the transmitter gets the value of  $Y_i$  from the feedback and sends  $X_{i+1} = Y_i$  through the channel.
- (a) Give the capacity C of the channel in terms of  $\epsilon$ , and show that C=0 when  $\epsilon=\frac{1}{2}$ .
- (b) Show that if  $\epsilon = \frac{1}{2}$ ,  $I(X^n; Y^n) = n 1$ . This means that  $I(X^n; Y^n) \leq nC$  does not hold for this system.
- (c) Show that although  $I(X^n; Y^n) > nC$  when  $\epsilon = \frac{1}{2}$ , we still have  $I(W; Y^n) \leq nC$ .

Note that since W is the useful information that is being transmitted, it is the value of  $I(W; Y^n)$  that we are interested in when we want to compute the amount of information that is shared with the receiver.

PROBLEM 6. Consider a random source S of information, and let W be a random variable which represents the first L symbols  $U_1, \ldots, U_L$  of this source, i.e.,  $W = U_1^L$ . We want to transmit the value of W using a memoryless stationary channel as follows:

- At time t = 1, we send  $X_1 = f_1(W)$  through the channel.
- At time  $t = i + 1 \le n$ , we send  $X_{i+1} = f_i(W, Y^i)$  through the channel.  $Y_1, \ldots, Y_i$  are the output of the channel at times  $t = 1, \ldots, i$  respectively,

 $f_1, \ldots, f_n$  are n mappings that constitute the encoder. Clearly, this is a communication system with feedback as we are using the value of  $Y^i$  in the computation of  $X_{i+1}$ .

In the previous problem, we gave an example which satisfies  $I(X^n; Y^n) > nC$  and  $I(W; Y^n) \leq nC$ . Show that the inequality  $I(W; Y^n) \leq nC$  always holds by justifying each of the following equalities and inequalities:

$$\begin{split} I(W;Y^n) &\stackrel{(a)}{=} \sum_{i=1}^n I(W;Y_i|Y^{i-1}) \stackrel{(b)}{\leq} \sum_{i=1}^n I(W,Y^{i-1};Y_i) \stackrel{(c)}{\leq} \sum_{i=1}^n I(W,X_i,X^{i-1},Y^{i-1};Y_i) \\ &\stackrel{(d)}{=} \sum_{i=1}^n I(X_i,X^{i-1},Y^{i-1};Y_i) \stackrel{(e)}{=} \sum_{i=1}^n I(X_i;Y_i) \stackrel{(f)}{\leq} nC. \end{split}$$

Since  $I(W; Y^n)$  represents the amount of information that is shared with the receiver, the inequality  $I(W; Y^n) \leq nC$  shows that feedback does not increase the capacity.