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Handout 26
Principles of Digital Communications
Solutions to Problem Set 11
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Solution 1.
(a) The state diagram and detour flow graph are shown here:

(b) Let $a, b, c, d$, e respectively represent the states $(1,1),(-1,1),(-1,-1),(1,-1)$ and $(1,1)$. We have

$$
\begin{aligned}
T_{b} & =T_{d} I D+T_{a} I D^{2} \\
T_{c} & =T_{c} I D+T_{b} I D^{2} \\
T_{d} & =T_{b} D^{2}+T_{c} D .
\end{aligned}
$$

Substituting $T_{c}=T_{b} \frac{I D^{2}}{1-I D}$ in the third equation above,

$$
\begin{aligned}
T_{d} & =T_{b} D^{2}+T_{b} \frac{I D^{3}}{1-I D} \\
& =T_{b}\left(D^{2}+\frac{I D^{3}}{1-I D}\right) \\
& =T_{b} \frac{D^{2}}{1-I D} \\
& =T_{b} \alpha,
\end{aligned}
$$

with $\alpha=\frac{D^{2}}{1-I D}$. The detour flow graph can thus be simplified as follows:


In $T_{b}=T_{d} I D+T_{a} I D^{2}$, we substitute for $T_{d}$ to get

$$
T_{b}=T_{a} \frac{I D^{2}(1-I D)}{1-I D-I D^{3}} .
$$

It follows that

$$
T_{d}=T_{b} \frac{D^{2}}{1-I D}=T_{a} \frac{I D^{4}}{1-I D-I D^{3}},
$$

and that

$$
T(I, D)=T_{e}=T_{a} \frac{I D^{7}}{1-I D-I D^{3}} .
$$

Taking the derivative yields

$$
\frac{\partial T(I, D)}{\partial I}=\frac{D^{7}\left(1-I D-I D^{3}\right)-I D^{7}\left(-D-D^{3}\right)}{\left(1-I D-I D^{3}\right)^{2}}=\frac{D^{7}}{\left(1-I D-I D^{3}\right)^{2}}
$$

Therefore, we find

$$
\begin{aligned}
P_{b} & \leq\left.\frac{\partial T(I, D)}{\partial I}\right|_{I=1, D=z} \\
& =\frac{z^{7}}{\left(1-z-z^{3}\right)^{2}},
\end{aligned}
$$

where $z=e^{-\frac{\mathcal{E}_{s}}{N_{0}}}$. Since there are three channel symbols per source symbol, we find that $\mathcal{E}_{s}=\mathcal{E}_{b} / 3$.

## Solution 2.

(a) An implementation of the encoder will be as follows:

(b) The state diagram is shown here:


We use the following terminology: the state label is $x, y$, where $x$ is the "state of the even sub-sequence", i.e. contains $b_{2 n-2}$, and $y$ is the "state of the odd subsequence", i.e. contains $b_{2 n-1}$. On the arrows, we only mark the outputs; the input required to make a particular transition is simply the next state, therefore we omitted it. Transitions are labeled with the value of $x_{3 n}, x_{3 n+1}, x_{3 n+2}$.
(c) We use

$$
P_{b} \leq\left.\frac{1}{k_{0}} \frac{\partial T(I, D)}{\partial I}\right|_{I=1, D=z},
$$

where $z=e^{-\frac{\varepsilon_{s}}{N_{0}}}$ and $k_{0}$ is the number of inputs per section of the trellis. In this problem, $k_{0}=2$. Since there are three channel symbols per two source symbols, we find that $\mathcal{E}_{s}=2 \mathcal{E}_{b} / 3$.

From the state diagram we can derive the generating functions of the detour flow graph:

$$
\begin{aligned}
T(I, D) & =D^{3} T_{-1,1}+D^{2} T_{-1,-1}+D T_{1,-1} \\
T_{1,-1} & =I D T_{-1,1}+I T_{-1,-1}+I D^{3} T_{1,-1}+I D^{2} T_{1,1} \\
T_{-1,-1} & =I^{2} D T_{-1,1}+I^{2} D^{2} T_{-1,-1}+I^{2} D T_{1,-1}+I^{2} D^{2} T_{1,1} \\
T_{-1,1} & =I D T_{-1,1}+I D^{2} T_{-1,-1}+I D T_{1,-1}+I D^{2} T_{1,1} .
\end{aligned}
$$

Solving the system gives

$$
T(I, D)=T_{1,1} \frac{D^{2} I\left(D^{6} I+D^{5} I^{2}-D^{3}-D^{4} I-D\right)}{-D^{5} I^{3}-D^{4} I^{2}+D^{3} I+2 D^{2} I^{2}+D^{2} I+D I^{3}+D I^{2}+D I-1},
$$

on which we can apply the formula above.

## Solution 3.

(a) Since the state is $\left(b_{j-1}, b_{j-2}\right)$, we need two shift registers. From the finite state machine, we can derive a table that relates the state $\left(b_{j-1}, b_{j-2}\right)$ and the current input $b_{j}$ with the two outputs $\left(x_{2 j}, x_{2 j+1}\right)$ :

| $b_{j}$ | $b_{j-1}$ | $b_{j-2}$ | $x_{2 j}$ | $x_{2 j+1}$ |
| ---: | ---: | ---: | ---: | ---: |
| 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | -1 | -1 | 1 |
| 1 | -1 | 1 | 1 | -1 |
| 1 | -1 | -1 | -1 | -1 |
| -1 | 1 | 1 | 1 | -1 |
| -1 | 1 | -1 | -1 | -1 |
| -1 | -1 | 1 | 1 | 1 |
| -1 | -1 | -1 | -1 | 1 |

We can easily notice that the column of $x_{2 j}$ is the same as the column of $b_{j-2}$. Therefore, $x_{2 j}=b_{j-2}$. On the other hand, we see that $x_{2 j+1}=b_{j-1}$ if $b_{j}=1$ and $x_{2 j+1}=-b_{j-1}$ if $b_{j}=-1$. Therefore $x_{2 j+1}=b_{j} \cdot b_{j-1}$, which gives us the following encoder:

(b) The detour flow graph (with respect to the all-one sequence) is shown below:


We have

$$
\begin{aligned}
& T_{b}=T_{a} I D+T_{d} I D^{2} \\
& T_{c}=T_{b} I+T_{c} I D \\
& T_{d}=T_{c} D^{2}+T_{b} D \\
& T_{e}=T_{d} D
\end{aligned}
$$

The solution of this system is $T_{e}=T_{a} \frac{I D^{3}}{1-I D-I D^{3}}$. Hence,

$$
\begin{aligned}
P_{b} & \leq\left.\frac{\partial T(I, D)}{\partial I}\right|_{I=1, D=z}=\left.\frac{D^{3}\left(1-I D-I D^{3}\right)+I D^{3}\left(D+D^{3}\right)}{\left(1-I D-I D^{3}\right)^{2}}\right|_{I=1, D=z} \\
& =\frac{z^{3}}{\left(1-z-z^{3}\right)^{2}}
\end{aligned}
$$

where $z=e^{-\frac{\varepsilon_{b}}{2 N_{0}}}$.

## Solution 4.

(a) The decoder is the same as in the example we have seen in Chapter 6 once the following isomorphic mapping is applied: $\{1 \rightarrow 0,-1 \rightarrow 1\}$. Figure 6.4 shows the trellis of the encoder.
(b) Given the observation $y=\left(y_{1}, \ldots, y_{n}\right)$, the ML codeword is given by $\arg \max _{x \in \mathcal{C}} p(y \mid x)$ where $\mathcal{C}$ represents the set of codewords (i.e., the set of all possible paths on the trellis). Alternately, the ML codeword is given by $\arg \max _{x \in \mathcal{C}} \sum_{i=1}^{n} \log p\left(y_{i} \mid x_{i}\right)$.

Hence, a branch metric for the BEC is

$$
\log p\left(y_{i} \mid x_{i}\right)= \begin{cases}\log \epsilon & \text { if } y_{i}=? \\ \log (1-\epsilon) & \text { if } y_{i}=x_{i} \\ -\infty & \text { if } y_{i}=1-x_{i}\end{cases}
$$

(c) Given the observation ( $0, ?, ?, 1,0,1$ ) , one can compute the branch metric in the trellis. Note that we do not need to further elaborate paths with a $-\infty$ metric. The decoding results $(0,1,0)$.

(d) We refer to the example shown in Chapter 6, where we have the same encoder, but a different channel. We have seen that

$$
P_{b} \leq \frac{z^{5}}{(1-2 z)^{2}}
$$

To determine $z$ we use the Bhattacharyya bound, which in our case is

$$
z=\sum_{y \in\{0,1, ?\}} \sqrt{P(y \mid 1) P(y \mid 0)}=\epsilon .
$$

Thus we have the following bound:

$$
P_{b} \leq \frac{\epsilon^{5}}{(1-2 \epsilon)^{2}}
$$

