

# ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

School of Computer and Communication Sciences

**Handout 17**  
Homework 7

Information Theory and Coding  
November 04, 2014

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PROBLEM 1. Consider two discrete memoryless channels. The first channel has input alphabet  $\mathcal{X}$ , output alphabet  $\mathcal{Y}$ ; the second channel has input alphabet  $\mathcal{Y}$  and output alphabet  $\mathcal{Z}$ . The first channel is described by the conditional probabilities  $P_1(y|x)$  and the second channel by  $P_2(z|y)$ . Let the capacities of these channels be  $C_1$  and  $C_2$ . Consider a third memoryless channel described by probabilities

$$P_3(z|x) = \sum_{y \in \mathcal{Y}} P_2(z|y)P_1(y|x), \quad x \in \mathcal{X}, z \in \mathcal{Z}.$$

(a) Show that the capacity  $C_3$  of this third channel satisfies

$$C_3 \leq \min\{C_1, C_2\}.$$

(b) A helpful statistician preprocesses the output of the first channel by forming  $\tilde{Y} = g(Y)$ . He claims that this will strictly improve the capacity.

(b1) Show that he is wrong.

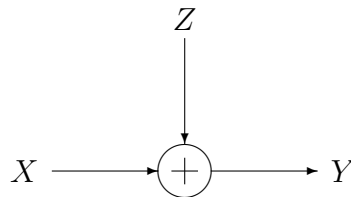
(b2) Under what conditions does he not strictly decrease the capacity?

PROBLEM 2. Let  $X$  be the channel input. Assume that the channel output  $Y$  is passed through a data processor in such a way that no information is lost. That is,

$$I(X; Y) = I(X; Z)$$

where  $Z$  is the processor output. Find an example where  $H(Y) > H(Z)$  and find an example where  $H(Y) < H(Z)$ . Hint: The data processor does not have to be deterministic.

PROBLEM 3. Find the channel capacity of the following discrete memoryless channel:



where  $\Pr\{Z = 0\} = \Pr\{Z = a\} = \frac{1}{2}$  and  $a \neq 0$ . The alphabet for  $x$  is  $\mathcal{X} = \{0, 1\}$ . Assume that  $Z$  is independent of  $X$ .

Observe that the channel capacity depends on the value of  $a$ .

PROBLEM 4. Consider the discrete memoryless channel  $Y = X + Z \pmod{11}$ , where

$$\Pr(Z = 1) = \Pr(Z = 2) = \Pr(Z = 3) = 1/3$$

and  $X \in \{0, 1, \dots, 10\}$ . Assume that  $Z$  is independent of  $X$ .

- (a) Find the capacity.
- (b) What is the maximizing  $p^*(x)$ ?

PROBLEM 5. We are given a memoryless stationary binary symmetric channel  $\text{BSC}(\epsilon)$ . I.e., if  $X_1, \dots, X_n \in \{0, 1\}$  are the input of this channel and  $Y_1, \dots, Y_n \in \{0, 1\}$  are the output, we have:

$$P(Y_i|X_i, X^{i-1}, Y^{i-1}) = P(Y_i|X_i) = \begin{cases} 1 - \epsilon & \text{if } Y_i = X_i, \\ \epsilon & \text{otherwise.} \end{cases}$$

Let  $W$  be a random variable that is uniform in  $\{0, 1\}$  and consider a communication system with feedback which transmits the value of  $W$  to the receiver as follows:

- At time  $t = 1$ , the transmitter sends  $X_1 = W$  through the channel.
  - At time  $t = i + 1 \leq n$ , the transmitter gets the value of  $Y_i$  from the feedback and sends  $X_{i+1} = Y_i$  through the channel.
- (a) Give the capacity  $C$  of the channel in terms of  $\epsilon$ , and show that  $C = 0$  when  $\epsilon = \frac{1}{2}$ .
- (b) Show that if  $\epsilon = \frac{1}{2}$ ,  $I(X^n; Y^n) = n - 1$ . This means that  $I(X^n; Y^n) \leq nC$  does not hold for this system.
- (c) Show that although  $I(X^n; Y^n) > nC$  when  $\epsilon = \frac{1}{2}$ , we still have  $I(W; Y^n) \leq nC$ .

Note that since  $W$  is the useful information that is being transmitted, it is the value of  $I(W; Y^n)$  that we are interested in when we want to compute the amount of information that is shared with the receiver.

PROBLEM 6. Consider a random source  $\mathcal{S}$  of information, and let  $W$  be a random variable which represents the first  $L$  symbols  $U_1, \dots, U_L$  of this source, i.e.,  $W = U_1^L$ . We want to transmit the value of  $W$  using a memoryless stationary channel as follows:

- At time  $t = 1$ , we send  $X_1 = f_1(W)$  through the channel.
- At time  $t = i + 1 \leq n$ , we send  $X_{i+1} = f_i(W, Y^i)$  through the channel.  $Y_1, \dots, Y_i$  are the output of the channel at times  $t = 1, \dots, i$  respectively,

$f_1, \dots, f_n$  are  $n$  mappings that constitute the encoder. Clearly, this is a communication system with feedback as we are using the value of  $Y^i$  in the computation of  $X_{i+1}$ .

In the previous problem, we gave an example which satisfies  $I(X^n; Y^n) > nC$  and  $I(W; Y^n) \leq nC$ . Show that the inequality  $I(W; Y^n) \leq nC$  always holds by justifying each of the following equalities and inequalities:

$$\begin{aligned} I(W; Y^n) &\stackrel{(a)}{=} \sum_{i=1}^n I(W; Y_i | Y^{i-1}) \stackrel{(b)}{\leq} \sum_{i=1}^n I(W, Y^{i-1}; Y_i) \stackrel{(c)}{\leq} \sum_{i=1}^n I(W, X_i, X^{i-1}, Y^{i-1}; Y_i) \\ &\stackrel{(d)}{=} \sum_{i=1}^n I(X_i, X^{i-1}, Y^{i-1}; Y_i) \stackrel{(e)}{=} \sum_{i=1}^n I(X_i; Y_i) \stackrel{(f)}{\leq} nC. \end{aligned}$$

Since  $I(W; Y^n)$  represents the amount of information that is shared with the receiver, the inequality  $I(W; Y^n) \leq nC$  shows that feedback does not increase the capacity.